#### CISC 1100: Structures of Computer Science Chapter 5 Functions

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Summer, 2015

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- Logic: rigorous way to talk about conditions and decisions
- Relations: rigorous way to talk about how objects can relate to each other
- Function: a relation in which each element of the domain is related to *exactly one* element in the codomain

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  - $\bullet$  Facebook user  $\rightarrow$  favorite email address: function

### Outline

- What is a function?
- Relations and functions
- Properties of functions
- Function composition
- Identity and inverse functions
- An application: cryptography
- More about functions
- An application: secure storage of computer passwords

#### What is a function?

You may have already had some experience with functions, such as plotting curves such as y = -x or  $y = x^2$ :



$$x \longrightarrow f \longrightarrow y = f(x)$$

• The black-box model:

$$x \longrightarrow f \longrightarrow y = f(x)$$

• Parts of speech:

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- Parts of speech:
  - domain X: all possible inputs
  - codomain Y: all possible outputs
  - *f*: the *name* of the function (represents the rule telling assigning the output value to a given input value)
- Notation  $f: X \to Y$

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- Not all functions are numerical.
- Could use English (even for numerical functions).



**Example:** For the function



• Domain is  $\mathbb{R}$ .



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- Rule: This function returns the output value -x for any given input value x.



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- Codomain is  $\mathbb{R}$  (but could've been  $\mathbb{R}^{\geq 0}$ ).
- Rule: This function returns the output value x<sup>2</sup> for any given input value x.

• Can use a table ... more convenient than English.

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- **Example:** A function *d*: {1, 2, 3, 4, 5} → ℕ whose table is given by

t	1	2	3	4	5
d(t)	2	4	6	8	10

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- Example: A function d: {1, 2, 3, 4, 5} → N whose table is given by

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• The functions *d* and *d*\* are different. Why?

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• **Example:** A function  $d^*$ :  $\{1, 2, 3, 4, 5\} \rightarrow \{2, 4, 6, 8, 10\}$  given by

• The functions *d* and *d*\* are different. Why? Different codomains!
z
 1
 2
 3
 4
 5
 6
 7
 8
 9
 10
 ...

  $d^{**}(z)$  2
 4
 6
 8
 10
 12
 14
 16
 18
 20
 ...

 z
 1
 2
 3
 4
 5
 6
 7
 8
 9
 10
 ...

  $d^{**}(z)$  2
 4
 6
 8
 10
 12
 14
 16
 18
 20
 ...

Alternatively, can say that  $d^{**} \colon \mathbb{Z}^+ \to \mathbb{Z}^+$  is given by the rule

$$d^{**}(x) = 2x \qquad \forall x \in \mathbb{Z}^+.$$

• Coffee shop's menu:

С	<i>p</i> ( <i>c</i> )
small	\$1.25
medium	\$2.15
large	\$2.75

This describes a function

 $p: \{$ small, medium, large $\} \rightarrow \mathbb{Q}$ 

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 $p \colon \{\text{small}, \text{medium}, \text{large}\} \to \mathbb{Q}$ 

• Bakery's menu:

i	b(i)
bagel	\$1.00
croissant	\$1.25
danish	\$2.25
muffin	\$1.50

This describes a function

 $b: \{ \mathsf{bagel}, \mathsf{croissant}, \mathsf{danish}, \mathsf{muffin} \} \rightarrow \mathbb{Q}$ 

My address book looks something like this:

п	e(n)
÷	
Harry Q. Bovik	bovik@cs.cmu.edu
James T. Kirk	kirk@starfleet.federation.gov
Darth Vader	vader@empire.gov
÷	÷

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:	÷

This table describes a function

 $e: \{my \text{ friends}\} \rightarrow \{all \text{ possible email addresses}\}$ 

## Still more examples

Each Facebook user has a gender (which s/he needn't specify):

p	g(p)
	÷
Bovik, Harry Q.	U
Lyons, Damian M.	М
Weiss, Gary M.	M
Papadakis-Kanaris, Christina	F
Werschulz, Arthur G.	M
:	:

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This table describes a function

```
g: \{ all \ Facebook \ users \} \rightarrow G
```

where  $G = \{M, F, U\}$ .

#### Functions and relations

- If r is a relation from X to Y:
  - Some elements of X might not participate in the relation, i.e., there might be x ∈ X such that (x, y) ∉ r for any y ∈ Y.
  - Some elements of X might be related to more than one element of Y, i.e., there might be x ∈ X such that both (x, y<sub>1</sub>) ∈ r and (x, y<sub>2</sub>) ∈ r, where y<sub>1</sub> ≠ y<sub>2</sub>.

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- This cannot happen with functions. If  $f: X \to Y$ , then
  - Every x ∈ X participates in the function, i.e., f(x) is defined for each x ∈ X.
  - Each x ∈ X is associated with exactly one y ∈ Y, i.e., f(x) is "well-defined" for each x ∈ X.



**Example:** The curve  $x = y^2$  looks like

Does it define a function from x-values to y-values?



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Does it define a function from x-values to y-values? No.

Let's look at some examples.

• Define 
$$f: \{1, 2, 3, 4\} \rightarrow \{1, 2, 3, 4\}$$
 by  
$$\frac{x || 1 || 2 || 3}{f(x) || 3 || 1 || 2}$$

Is f a function?

Let's look at some examples.

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Is f a function? No! What's f(4)?

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 $\bullet$  Let r be a relation from  $\{1,2,3,4\}$  to  $\{1,2,3,4\}$  given by

$$r = \{(1,3), (2,4), (3,1), (4,4), (1,4)\}$$

Does r determine a function?

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Does r determine a function? No! r(1) would need to be both 3 and 4.

More examples:

• Let q be a relation from  $\mathbb R$  to  $\mathbb R$  defined by

$$q(x) = y$$
 iff  $x = y^2$ 

Is q a function?

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• Let s be a relation from  $\mathbb{R}^{\geq 0}$  to  $\mathbb{R}^{\geq 0}$  defined by

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Is s a function?

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Is s a function? Yes! s(x) = y iff  $x = y^2$  iff  $y = \sqrt{x}$ . Moral of the story? All three pieces (the domain, the codomain, and the "rule") are important.

Range
$$(f) = f(X) = \{ f(x) : x \in X \}.$$

- We sometimes write f(X) for the range of  $f: X \to Y$ .
- Note that Range(f) ⊆ Y, i.e., the range is always a subset of the codomain.

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• **Example:** Define 
$$g: \{1, 2, 3, 4\} \rightarrow \{1, 2, 3\}$$
 by

$$\frac{t}{g(t)} = \frac{1}{3} \frac{2}{1} \frac{3}{4}$$
Then Range(g) = Codomain(g).  
• Example: Define h: {1,2,3,4}  $\rightarrow$  {1,2,3,4} by  
 $\frac{t}{h(t)} = \frac{1}{3} \frac{2}{1} \frac{3}{4}$ 

Then

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- f is surjective if  $\forall t \in T, \exists s \in S : t = f(s)$ . Equivalent formulation: Range(f) = T.
- f is bijective if f is both injective and surjective.

## Properties of functions (cont'd)



Not injective, not surjective.

### Properties of functions (cont'd)



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Injective.

### Properties of functions (cont'd)



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Surjective.


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- Simpler language.
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  - "f maps S onto T," instead of "f:  $\tilde{S} \rightarrow T$  is surjective."

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- Simpler language.
  - "f is one-to-one" instead of "f is injective."
  - "f maps S onto T," instead of "f:  $\tilde{S} \to T$  is surjective."
    - The word "onto" is a preposition, and not an adjective.
    - Please do not say "The function f is onto."

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- For *f* to be injective, no two distinct points in *S* can have the same label.
- For f to be surjective, every point in T must have at least one label.
- For f to be bijective, every point in T must have *exactly* one label.

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- If every spot on entire floor gets covered with exactly one drop of paint, then *p* is bijective.

More examples:

h is

More examples:

h is not injective,

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• Define  $f: \{1, 2, 3\} \rightarrow \{1, 2, 3, 4\}$  by

5	1	2	3
f(s)	3	2	1

f

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• Define 
$$q: \{1, 2, 3, 4\} \rightarrow \{\clubsuit, \diamondsuit, \heartsuit, \clubsuit\}$$
 by  
$$\frac{\tau || 1 || 2 || 3 || 4}{q(\tau) || | \clubsuit || \heartsuit || \diamondsuit || \clubsuit}$$

q

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• Can we ever rule out the existence of injections or surjections?

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- Pigeonhole Principle:



Let A and B be finite sets.

If |A| < |B|, then there can be no surjection from A to B.</li>
 If |A| > |B|, then there can be no injection from A to B.
 If |A| ≠ |B|, then there can be no bijection from A to B.

• Suppose we have five softball players, and four pre-existing softball teams.

Then at least two of them will play on the same team.

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• Why? Let  $S = \{$ the softball players $\}$  and  $T = \{$ the teams $\}$ . Define  $p: S \to T$  by

 $p(s) \in T$  is the team on which  $s \in S$  plays.

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- Pigeonhole Principle: *p* cannot be an injection.
- Thus, there exist distinct i and j such that p(i) = p(j).

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• Why? Let  $S = \{$ the softball players $\}$  and  $T = \{$ the teams $\}$ . Define  $p: S \rightarrow T$  by

 $p(s) \in T$  is the team on which  $s \in S$  plays.

- Pigeonhole Principle: *p* cannot be an injection.
- Thus, there exist distinct i and j such that p(i) = p(j).
- So players *i* and *j* must be on the same team.

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- All this is part of *software engineering*.

Example: We want to compute a complicated function, such as h: ℝ → ℝ defined as

$$h(x) = (3x^2 + 2x + 7)^{14} + 32(3x^2 + 2x + 7)^5 - 11(3x^2 + 2x + 7)^3$$
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$$h(x) = g(f(x)) \qquad \forall x \in \mathbb{R}.$$

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• Although we write  $g \circ f$  and we read g before f when we say "g composed with f," we first calculate y = f(x) and then z = g(y) when we compute z = g(f(x)).

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So  $(f \circ g)(2) \neq (g \circ f)(2)$ . Function composition is not commutative!

**Example:** Let *P* be the set of all people. Define functions  $f: P \rightarrow P$  and  $m: P \rightarrow P$  by

f(p) =the (birth) father of  $p \qquad \forall p \in P$ 

and

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 $m \circ f$  = paternal grandmother,  $f \circ m$  = maternal grandfather,  $f \circ f$  = paternal grandfather.  The *identity function* on a set A is the function id<sub>A</sub>: A → A defined by

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• Why this name? Analogous to

$$a \times 1 = a = 1 \times a \qquad \forall a \in \mathbb{R}.$$

#### Identity and inverse functions (cont'd)

Example: Let V be the set of all vowels. The identity function id<sub>V</sub>: V → V is given by

X	а	е	i	0	u
$\operatorname{id}_V(x)$	а	е	i	0	u

#### Identity and inverse functions (cont'd)

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• Why do we care about a function that "does nothing"?

The function f: X → Y is *invertible* if there exists another function f<sup>-1</sup>: Y → X such that

$$f^{-1} \circ f = \mathrm{id}_X$$
 and  $f \circ f^{-1} = \mathrm{id}_Y$ ,  
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If f is invertible, then f<sup>-1</sup> is the functional *inverse* of f.
Don't confuse f<sup>-1</sup> with a reciprocal (1/f)!

**Example:** Define  $g : \mathbb{Z} \to \mathbb{Z}$  by

$$g(x) = x - 7 \qquad \forall x \in \mathbb{Z}.$$

Show that  $g^{-1} \colon \mathbb{Z} \to \mathbb{Z}$  is given by

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So  $g^{-1}$  is the functional inverse of g, as claimed.

Not all functions are invertible, and the difference between invertibility and non-invertibility may be subtle.

• **Example:** Define  $m \colon \mathbb{Q} \to \mathbb{Q}$  by

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Is m invertible? If so, what is its inverse function?

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$$y = 2x \iff x = \frac{1}{2}y.$$

Now  $y \in \mathbb{Q} \implies x = \frac{1}{2}y \in \mathbb{Q}$ . Thus *m* is invertible, with  $m^{-1} \colon \mathbb{Q} \to \mathbb{Q}$  given by

$$m^{-1}(y) = \frac{1}{2}y \qquad \forall y \in \mathbb{Q}.$$

• **Example:** Define  $\widetilde{m} \colon \mathbb{N} \to \mathbb{N}$  by

$$\widetilde{m}(x) = 2x \qquad \forall \, x \in \mathbb{N}.$$

Is  $\widetilde{m}$  invertible? If so, give its inverse function.

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  - Uniqueness holds iff f is an injection.
     If y = f(x) and also y = f(x'), we wouldn't know whether we should use x or x' as the value of f<sup>-1</sup>(y).

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- **Explanation:** Substitute f(x) = y into  $x = f^{-1}(f(x))$ , finding

$$x = f^{-1}(y) \iff y = f(x).$$

- For any  $y \in Y$ , there must exist a unique  $x \in X$  such that  $x = f^{-1}(y)$ , i.e., such that y = f(x).
  - Uniqueness holds iff f is an injection.
     If y = f(x) and also y = f(x'), we wouldn't know whether we should use x or x' as the value of f<sup>-1</sup>(y).
  - Existence holds iff for any y ∈ Y, there exists some x ∈ X such that f(x) = y, i.e., iff f is a surjection.

Which of the following functions are invertible?

• A function from the set  $\{1, 2, 3, \dots, 999\}$  to the set  $\{1, 2, 3, \dots, 999, 1000\}$ .

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$\tau$	1	2	3	4
$q(\tau)$	•	$\heartsuit$	$\diamond$	÷

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Yes. q is a bijection. In fact its inverse is the function  $q^{-1}: \{\clubsuit, \diamondsuit, \heartsuit, \clubsuit\} \rightarrow \{1, 2, 3, 4\}$  defined by  $\begin{array}{c|c} s & \clubsuit & \diamondsuit & \clubsuit \\ \hline q^{-1}(s) & 4 & 3 & 2 & 1 \end{array}$ 

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Yes! Its inverse is the function  $f^{-1}$ :  $\mathbb{R}^{\geq 0} \to \mathbb{R}^{\geq 0}$  defined by

$$f^{-1}(y) = \sqrt{y} \qquad \forall y \in \mathbb{R}^{\geq 0}.$$

One last example: Let  $g \colon \mathbb{R} \to \mathbb{R}$  be defined by

$$g(s) = 4s - 3$$
  $\forall s \in \mathbb{R}$ .

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• Thus  $g^{-1} \colon \mathbb{R} \to \mathbb{R}$  is given by the rule

$$g^{-1}(t) = rac{1}{4}(t+3) \qquad orall t \in \mathbb{R}$$
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This expression on the right-hand side is precisely  $f^{-1}(y)$ .

**Fact:** Let  $f: A \rightarrow B$  and  $g: B \rightarrow C$  be invertible functions. Then  $g \circ f: A \rightarrow C$  is invertible, with

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 $(f^{-1} \circ g^{-1}) \circ (g \circ f) = \mathrm{id}_A$  and  $(g \circ f) \circ (f^{-1} \circ g^{-1}) = \mathrm{id}_C$ . But

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**Example:** Define  $f, g \colon \mathbb{R} \to \mathbb{R}$  by

$$f(x) = 2x + 7$$
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$$= f^{-1}(\sqrt[3]{y+8}) = \frac{1}{2}(\sqrt[3]{y+8} - 7)$$

• This extends to compositions of any number of functions, e.g.,

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- To undo a sequence of steps, undo all the steps, *but in reverse order*.
- Useful in Alice, Part III (unmelting the snow woman).

Consider the following scenarios:

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 If this information is intercepted when it is transmitted to the online store, you are a prime candidate for identity theft. Consider the following scenarios:

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These are problems in computational cryptography, which deals with the problem of hiding information from people who shouldn't see it.

# An example: cryptography (cont'd)

Julius Caesar needed to securely send military messages to his troops. Given the original *cleartext*, he created a *ciphertext* by replacing each letter by the one that comes three positions later in alphabetical order (*Caesar rotation*). This defines a encoding function  $e: \{A, B, ..., Z\} \rightarrow \{A, B, ..., Z\}$ , defined by the table

 x
 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

 e(x)
 D E F G H I J K L M N O P Q R S T U V W X Y Z A B C

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Corresponding decoding function  $d: \{A, B, \dots, Z\} \rightarrow \{A, B, \dots, Z\}$  is the inverse of the encoding function:

$$e(d(x)) = x$$
 and  $d(e(x)) = x$ 

for any  $x \in \{A, B, \dots, Z\}$ . More succinctly,

$$e \circ d = \mathsf{id}_{\{A,B,\dots,Z\}} = d \circ e.$$

# An example: cryptography (cont'd)

For Caesar rotation 
$$e \colon \{A, B, \dots, Z\} \to \{A, B, \dots, Z\}$$

x	ABCDEFGHIJKLMNOPQRSTUVWXYZ
e(x)	DEFGHIJKLMNOPQRSTUVWXYZABC

decoding function  $d \colon \{\mathtt{A}, \mathtt{B}, \dots, \mathtt{Z}\} \to \{\mathtt{A}, \mathtt{B}, \dots, \mathtt{Z}\}$  is
For Caesar rotation 
$$e \colon \{A, B, \dots, Z\} \to \{A, B, \dots, Z\}$$

X	A	В	С	D	Е	F	G	Η	Ι	J	Κ	L	М	Ν	0	Ρ	Q	R	S	Т	U	V	W	Х	Y	Ζ
e(x)	D	Е	F	G	Η	Ι	J	Κ	L	М	Ν	0	Ρ	Q	R	S	Т	U	V	W	Х	Y	Ζ	A	В	С

decoding function  $d \colon \{A, B, \dots, Z\} \to \{A, B, \dots, Z\}$  is

У	ΑB	СD	ΕF	G	ΗI	J	Κ	L	М	N	0	Ρ	Q	R	S	Т	U	V	W	X	Y	Ζ
d(y)	ΧY	ΖA	ВС	D	ΕF	G	Η	Ι	J	Κ	L	М	Ν	0	Ρ	Q	R	S	Т	U	V	W

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$$e \colon \{A, B, \dots, Z\} \to \{A, B, \dots, Z\}$$

x	ΑB	С	D	Е	F	G	Η	Ι	J	Κ	L	М	Ν	0	Ρ	Q	R	S	Т	U	V	W	Х	Y	Ζ
e(x)	DΕ	F	G	Η	Ι	J	Κ	L	М	Ν	0	Ρ	Q	R	S	Т	U	V	W	Х	Y	Ζ	A	В	С

decoding function  $d: \{A, B, \dots, Z\} \rightarrow \{A, B, \dots, Z\}$  is

 y
 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

 d(y)
 X Y Z A B C D E F G H I J K L M N O P Q R S T U V W

("swap the rows").

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- But Caesar's forces (who had already been told what the encoding and decoding methods were), *would* be able to decode it!

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  - No "security through obscurity"!
- This does *not* mean that these techniques are provably secure!
  - Nobody knows how to do fast factorization.
  - Nobody has ever proved that fast factorization is impossible!

Where else do functions crop up in computer science?

**Standard mathematical functions** Here's a partial list of functions you may have encountered:

math name	UNIX name	description
	sqrt	square root
sin	sin	trigonometric sine
cos	cos	trigonometric cosine
tan	tan	trigonometric tangent
$\sin^{-1}$	asin	trigonometric arc (inverse) sine
$\cos^{-1}$	acos	trigonometric arc cosine
$tan^{-1}$	atan	trigonometric arc tangent
exp	exp	exponential function
ln	log	natural logarithm
•	fabs	absolute value

**Standard mathematical functions (cont'd)** You may be less familiar with the following:

 The max function. If x and y are numbers, then max(x, y) is the maximum of x and y. For example, max(2.3, -4.2) = 2.3.

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- The min function. If x and y are numbers, then min(x, y) is the minimum of x and y. For example,
   min(2,2,4,2)

 $\min(2.3, -4.2) = -4.2.$ 

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The names of these functions, as found in the UNIX standard library, are fmax, fmin, ceil, and floor.

**Growth functions:** Used to measure efficiency of algorithms. Typically a function  $f: \mathbb{Z} \to \mathbb{Z}$ , with

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Here are some standard growth functions:

function	name
log n	logarithmic
п	linear
n log n	(no commonly-accepted name)
n <sup>2</sup>	quadratic
n <sup>3</sup>	cubic
2 <sup>n</sup>	exponential
<i>n</i> !	factorial

## Growth functions (cont'd):

Let's do some graphing.



$$y = x^2 \qquad y = x^3 \qquad y = x^4$$

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Breakpoint (between tractable and intractable problems): polynomial vs. exponential

#### Functions in program construction

Functions are ubiquitous in the design and implementation of computer programs. For starters, functions are the main building block for many computer programming languages. For instance, every executable C or C++ program will have a function named main, which is the starting point for program execution.

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```
An example in C++:
```

#include <iostream>

```
int main()
{
   std::cout << "Hello, world!\n";
}</pre>
```

# Functions in program construction (cont'd):

Look at the following:

```
int main()
{
   do_initialization();
   do {
      data = get_input_data();
      result = process_data(data);
      put_result(result);
      still_working = more_to_process();
   } while (still_working);
   do_cleanup();
}
```

**Functions in program construction (cont'd):** This particular main function involves other functions. Note the following points:

- This is a syntactically correct C++ (or C) main function.
- This could be the main function for almost *any* text-based task.
- main involves other functions. These can be written by other programmers. In fact, they themselves can involve (sub)functions, and so on. Can use this "functional decomposition" to split the work amongst a team of programmers.
- At each stage, we have a working system (without all the features).
- When functions are fully fleshed out, we have a complete working system.
- This approach can make testing a lot easier.

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- System stores each user's encrypted password in a world-readable file.

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- User is allowed in if and only if  $\tilde{e} = e$ .

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- If f<sup>-1</sup> can be computed quickly, then a Bad Guy could compute plaintext password, given the encrypted password.

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The moral of the story: choose good passwords!