# Counting Sort, HashTable CISC4080 CIS, Fordham Univ.

Instructor: X. Zhang

#### Recap

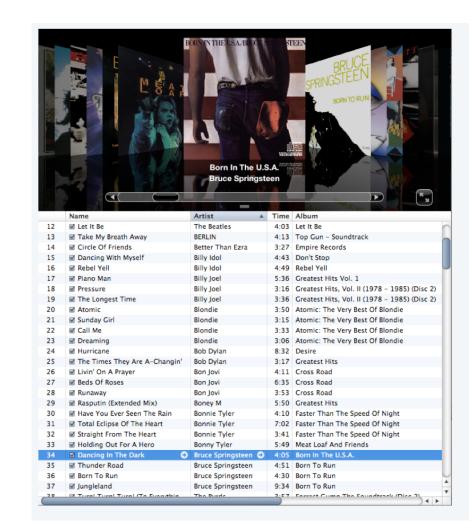
- What's algorithms, problem, problem instance
- Selection, bubble, insertion sort
- Recursive algorithm:
  - three questions for thinking recursively!
- 2 (3) questions for each algorithm:
  - Is it correct?
  - Is it efficient?
  - Can we do better (use less resource: faster, use less memory)?
- Measure running time: how long does it take?
  - Intuitively: it depends on size of problem instance, and problem instance itself!

#### This class

- Counting Sort, and Radix Sort
- Pass function as parameter
- Dictionary
  - Direct Access Table
  - Hashtable
  - C++ STL unordered\_map

## Sorting is everywhere!

- When exploring your directory (using finder in Mac), you can sort entries by name, type, last modified time..., in ascending order or descending order
  - In Excel, iTune, ...
- More generally, we can provide a comparison function to decide "order" of two elements



#### Passing Comparison Function

 http://storm.cis.fordham.edu/~zhang/cs4080/Demo/ BubbleSortRecord.cpp

```
#include <functional>
//Sort vector of record, using InOrder function to compare and see if two
records are in order
void bubblesort (vector<Record> & a,
 std::function<bool (Record, Record) > InOrder)
 //^^ InOrder is a function that takes two Record type parameters and return a
bool.
     int end index = a.size()-1;
     for (; end index>0; end index--)
           for (int i=0; i<=end_index-1;i++)</pre>
                 //if a[i], a[i+1]) are in wrong order, originally:
                // if (a[i]>a[i+1]), if (!(a[i]<=a[i+1]))
                if (!InOrder(a[i],a[i+1]))
                     swap (a[i], a[i+1]);
```

#### Passing Comparison Function

```
class Record{
private:
   string name;
   int b year;
   int b month;
   int b day;
public:
//Several examples of InOrder functions for different keys and orders
   friend bool InAscendingOrderByName (Record a, Record b);
  friend bool InAscendingOrderByBDate (Record a, Record b);
};
//return true if a's birthday is before or the same as b's
bool InAscendingOrderByBDate (Record a, Record b)
     if (a.b year < b.b year ||
        a.b year == b.b year && a.b month < b.b month ||
        a.b year == b.b year && a.b month == b.b month && a.b day <= b.b day)
             return true;
     else
             return false:
}
```

#### Passing Comparison Function

```
int main()
{
        vector<Record> d;
        d.push_back (Record("Alice",2000, 3, 4));
        d.push_back (Record("Jack", 2001, 4, 8));
        d.push back (Record("Abe", 1999, 8, 21));
        d.push back (Record("Alice", 2001, 9, 19));
        d.push_back (Record("Bob", 2001,9,3));
        d.push_back (Record("Abe", 2001, 4,8));
        d.push back (Record("Jack", 2001,9,19));
        cout <<"Before sorting\n";</pre>
        for (int i=0;i<d.size();i++)</pre>
                 d[i].Print():
        /* sort d by ascending order of birthdate, i.e. from oldest to
youngest */
        bubblesort (d, InAscendingOrderByBDate);
        cout <<"After sorting in ascending order by birthdate\n";</pre>
        for (int i=0;i<d.size();i++)</pre>
                 d[i].Print();
}
```

#### When there are duplicate keys

Name	Age
Alice	5
Jack	2
Bob	4
Chris	5
Lisa	4
Jasmine	3
Bob	2

sort by ascending order of field Age

Name	Age
Jack	2
Bob	2
Jasmine	3
Bob	4
Lisa	4
Alice	5
Chris	5



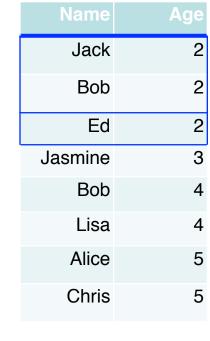
sort by ascending order of field Age

Name	Age
Jack	2
Bob	2
Jasmine	3
Lisa	4
Bob	4
Alice	5
Chris	5

#### Stableness

Name	Age
Alice	5
Jack	2
Bob	4
Chris	5
Lisa	4
Bob	2
Jasmine	3
Ed	2

sort by ascending order of field Age

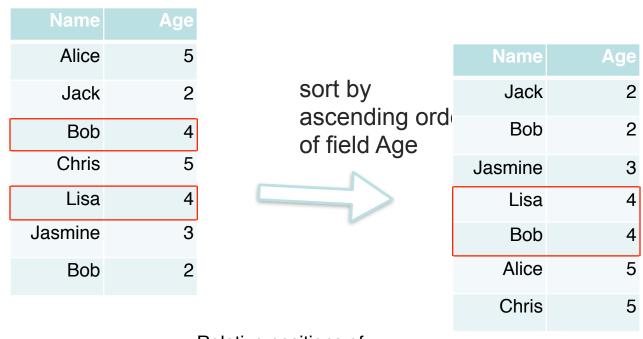


#### Def:

A sorting algorithm is stable: if it **always** maintains relative order of elements with same key value

```
(Jack, 2), (Bob, 2), (Ed, 2)
(Alice, 5) and (Chris, 5)
(Bob, 4), (Lisa, 4)
```

# Unstable Sorting Algorithm



Relative positions of (Bob,4) and (Lisa 4) changed!

So the sorting algorithm (used to generate the output) is not stable!

#### Def:

A sorting algorithm is not stable: if it **does not** maintains relative order of some elements with same key value

#### This class

- Counting Sort, and Radix Sort
- Pass function as parameter
- Dictionary
  - Direct Access Table
  - Hashtable
  - C++ STL unordered\_map

- Input: a list of integers or more generally, elements with an integer-valued key, with known value range
- Output: rearranged list by ascending (or descending order) of an integer valued key
- e.g., L[0...7]={5, 2, 4, 6, 4, 3, 2}, Min=1, Max=10
- Or a list of children at a Kindergarten:
  - (Alice, 5), (Jack 2), (Bob, 4), (Chris,5), (Lisa, 4),
     (Jasmine, 3), (Bob, 2)
  - sort by ascending order of age, all between 1 and 6

- Input: a list of integers or elements with an integer-valued key, with known value range
- Output: rearranged list by ascending (or descending order) of an integer valued key
- e.g., L[0...7]={5, 2, 4, 6, 4, 3, 2}, Min=1, Max=10
- Or a list of children at a Kindergarten:
  - (Alice, 5), (Jack 2), (Bob, 4), (Chris,5), (Lisa, 4),
     (Jasmine, 3), (Bob, 2)
  - sort by ascending order of age, all between 1 and 6
  - (Jack, 2), (Bob, 2), (Jasmine, 3), (Bob, 4), (Lisa, 4),
     (Alice, 5), (Chris, 5)

/\* Input: a list of integers or elements with an integer-valued key, with known value range

Output: rearranged list by ascending (or descending order) of an integer valued key \*/

- e.g., L[0...7]={5, 2, 4, 6, 4, 3, 2}, Min=1, Max=10
- Ideas:
  - Count frequency of each integer values in L, how many 1's? How many 2's? ... How many 10's?
    - how?
  - Use this to decide where each list element should be stored in sorted list

/\* Input: a list of integers or elements with an integer-valued key, with known value range

Output: rearranged list by ascending (or descending order) of an integer valued key \*/

- e.g., L[0...7]={5, 2, 4, 6, 4, 3, 2}, Min=1, Max=10
- Ideas:
  - Count frequency of each integer values in L, how many 1's? How many 2's? ... How many 10's?

Value	1	2	3	4	5	6	7	8	9	10
Count	0	2	1	2	1	1	0	0	0	0

•

/\* Input: a list of integers or elements with an integer-valued key, with known value range

Output: rearranged list by ascending (or descending order) of an integer valued key \*/

- e.g., L[0...8]={5, 2, 1, 4, 6, 4, 3, 2}, Min=1, Max=10
- Ideas:
  - 2. How many elements are <=1, 2, 3, 4, ...?

Value	1	2	3	4	5	6	7	8	9	10
Count	1	2	1	2	1	1	0	0	0	0
Cumulative	1	3	4	6		8	8	8	8	8

There are 6 elements that are <=4</li>

3. Where each list element should be stored?

e.g., the two 4's?

Input: index 0 1 2 3 4 5 6 7

L 5 2 1 4 6 4 3 2

Look up count and cumulative using 4 as index:

Value	1	2	3	4	5	6	7	8	9	10
Count	1	2	1	2	1	1	0	0	0	0
Cumulative	1	3	4	6	7	8	8	8	8	8

- Value 4 appears twice in the list,
- There are 6 elements that are <=4 => L[0...5]

Output: 

index 0 1 2 3 4 5 6 7 8

3. Where each list element should be stored? e.g., the two 4's?



Look up count and cumulative using 4 an index:

Value		0	0	de la contrata del la contrata de la contrata del la contrata de la contrata del la contrata de la contrata del la contr		6	-	0		40
Value			3	4	5	6	1	8	9	10
Count	1	2	1	2	1		0	0	0	0
Cumulative	1	3	4	6	7		8	8	8	8

Output:

index	0	1	2	3	4	5	6	7	8
L					4	4			

# CountingSort (L[0...n-1], k)

```
Let count[0...k] be a new array
for i=0 to k
    count [i] = 0
// count[v] stores # of occurrences of value v
// make count[v] stores # of values <=v
// place list elements to R[0...n-1], in sorted order (making use of count array)
//Copy R to L
```

# CountingSort (L[0...n-1], k)

```
Let count[0...k] be a new array
for i=0 to k
    count [i] = 0
// count[v] stores # of occurrences of value v
for j=0 to n-1
     count[L[j]] ++ //we see one more value of L[j], so increment the counter value
// make count[v] stores # of values <=v
for i=1 to k
     count[i] = count[i-1]+1
// place list elements to R[0...n-1], in sorted order (making use of count array)
for j=n-1 downto 0
     p = count[L[i]]
     count[L[i]] = count[L[i]]-1 //next occurrence of value L[i] should go here... (to the left)
     R[p] = L[i]
//Copy R to L
for I = 0 to n-1
    L[i] = R[i]
```

Input:

index	0	1	2	3	4	5	6	7
A	5	2	1	4	6	4	3	2

Count	Value	1	2	3	4	5	6	7	8	9	10
occurrence	C	1	2	1	2	1	1	0	0	0	0

Count <=

Value		2	3	4	5	6		8	9	10
С	1	3	4	6	7	8	8	8	8	8

Output:

index	0	1	2	3	4	5	6	7
А								

# CountingSort (L[0...n-1], k)

```
Let count[0...k] be a new array
for i=0 to k
    count [i] = 0
// count[v] stores # of occurrences of value v
for j=0 to n-1
     count[L[i]] ++ //we see one more value of L[i], so increment the counter value
// make count[v] stores # of values <=v
for i=1 to k
     count[i] = count[i-1]+1
Create a separate vector R of same size as L
// place list elements to R[0...n-1], in sorted order (making use of count array)
for j=n-1 downto 0
     p = count[L[j]] //L[J] is p-th smallest number in L
     R[p-1] = L[j] //L[j] should go to index p-1
     count[L[j]] = count[L[j]]-1 //next occurrence of value L[j] should go here... (to the left)
     //The above three lines can be combined into one:
     // R[-- count[L[i]]] = L[i]
//Copy R to L, one element at a time
// You can also use vector assignment: L = R
for j = 0 to n-1
```

L[i] = R[i]

Which sorting algorithm to use, Selection sort or counting sort?

\* to sort a list of 100 employees by their SSN

#### Radix Sorting

- Radix Sort: sort digit by digit
  - from least significant digit to most significant digit, uses (stable) counting sort to sort by each digit

	329		720		720		329
	457		355		329		355
	657		436		436		436
	839	]]]])	457	ասայիթ.	839	]]p-	457
	436		657		355		657
	720		329		457		720
•	355		839		657		839

# Radix Sorting

329		720		720		329
457		355		329		355
657		436		436		436
839	····i)])»-	457	jjp.	839	]]b-	457
436		657		355		657
720		329		457		720
355		839		657		839

- Why does it work?
  - How to reason about it...

#### Dictionary

- Dictionary: a data structure that stores a dynamic set of elements, supporting INSERT, DELETE, SEARCH operations
  - elements have distinct key fields, or each element is a (key, value) pair
- Usage example:
  - graph traversal algorithms (BFS and DFS) store node's color, distance, predecessor, ...
  - if color[v]==WHITE //look up color of node v
     color[] is a dictionary, node is key, value field is Color
  - Word count program
  - Clouting sort: array count[]

#### Simplest implementation

- Direct address table: array + use key as index into the array
  - only applicable when key is integer type
  - If T is the array, then T[i] stores the element whose key is i
  - Ex: in counting sort, we use array C to keep track occurrence of different int values
    - c[i] stores the number of time value i appear in array a[]
- Limitation:
  - key has to be integer type
  - table/array needs to be big enough to have one slot for every possible key

#### **BST** Implementation

- If key type is ordered (i.e., one can compare two given keys, k1, k2), one can use binary search tree
  - each node stores a key, value pair
  - pairs with smaller keys => stored in left subtree
  - pairs with larger keys => stored in right subtree
  - insert O(log n), delete O(log n), search O(log n)
- In C++ STL, ordered\_map implements dictionary using BST
  - #include <ordered\_map>
  - // wordsCnt is a dictionary/map, key is string, value is int type
  - ordered\_map<string, int> wordsCnt;
    - //stores occurrence for each word
  - string word;
  - inputFile>>word;
  - wordsCnt[word]++; //increment occurrence by 1

#### Hash table Implementation

- If key type is not ordered (i.e., one cannot compare two given keys, k1, k2,), one can use hash table
  - insert, delete, search: almost constant time operation
- unordered\_map in C++ STL
  - #include <unordered\_map> wordsCnt;
  - unordered\_map<string, int> wordsCnt; //stores occurrence for each word
  - string word;
  - inputFile>>word;
  - wordsCnt[word]++; //increment occurrence by 1

```
#include <unordered map>
                                             An example (given in Graph lab)
#include <fstream>
                                                 Accessing unordered_map
int main()
     unordered map<string,int> wordsCount;
     char filename[256];
     ifstream input; //declare an ifstream object, which represents a disk file from which
       //we will read info.
     string word;
     cout <<"Enter the file you want to analyze:";</pre>
     cin >> filename;
     //Open the disk file
     input.open (filename);
     if (input.is open())
          //reading from the file is similar to reading from standard input (cin)
          while (input >> word) { //as long as we successfully read a word
               wordsCount[word]++; //Increment the count for the word
               //when a word is encounted for the first time, wordsCount[word] is
               //accessed for the first time, the value will be initialized to 0 automatically
          } //continue until we reached the end of file
          //Close the file
          input.close();
     } else
          cout <<"Failed to open file " << filename<<endl;</pre>
          exit(1);
     }
```

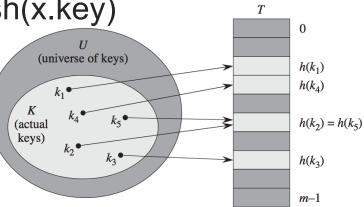
```
//Search a unordered map
char cont;
do{
    cout <<"Enter a word:";</pre>
    cin >> word;
    map<string,int>::iterator it;
    it = wordsCount.find(word);
    if (it==wordsCount.end())
         cout <<" does not appear\n";</pre>
         //if accessed (as below), it will be initialized to
         // default value, for int, it's 0
         cout <<"if accessed?"<<wordsCount[word]<<endl;</pre>
    else
         cout <<" appears "<<wordsCount[word]<<" times\n";</pre>
    cout <<"Continue (y/n)?";</pre>
    cin >> cont;
} while (cont=='y');
```

```
//iterate through a map
cout <<"Display the words and count\n";
map<string,int>::iterator it;
cout <<"word count\n";
for (it=wordsCount.begin();it!=wordsCount.end();it++)
{
    cout <<it->first<<" "<<it->second<<endl;
}</pre>
```

#### Hash Table

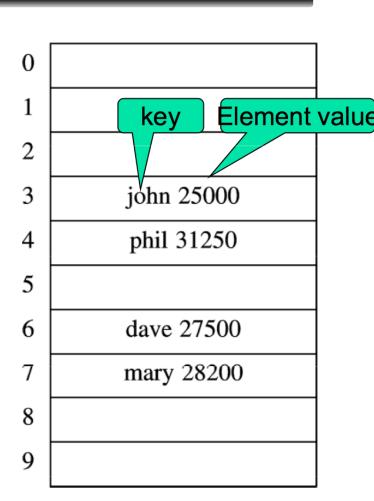
#### Ideas:

- use a table, T, of certain size, say m, to store a collection of (key, value) pairs
- use a hash function to map key to index of the table (array)
  - int hash (Key k) // return value 0...m-1
- Given an element x (with key k, value v)
  - store element at index hash(x.key)
  - i.e., T[ hash (k) ] = x (k, v)



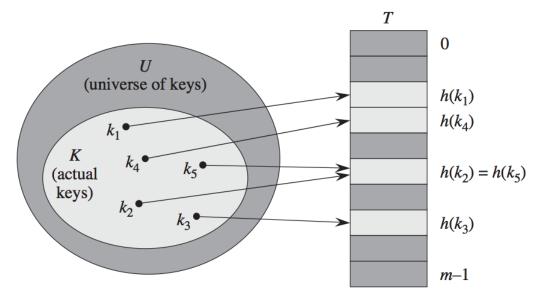
#### HashTable Operations

- Insert a new key value pair:
  - Table[h("john")]=Element("John", 25000)
- Delete element by key
  - Table[h("john")]=NULL
- Search by key
  - return Table[h("dave")]
- Assuming running time of h() is constant, all above operations takes constant time



#### Hashing: unavoidable collision

- Table T of size m,  $m = \Theta(|K|)$
- A hash function:  $h:U \rightarrow \{0,...,m-1\}$
- Given |U| > |m|, hash function is many-to-one function, by pigeonhole theorem
  - Collisions cannot be avoided



#### Hash Function

#### Good hash function:

- fast to compute
- Ideally, map any key equally likely to any of the slots, independent of other keys

#### Hash Function:

- first stage: map non-integer key to integer
- second stage: map integer to [0...m-1], where m is size of hash table

# First stage: key => integer

- Any basic type is represented in binary
- Composite type which is made up of basic type
  - a character string (each char is coded as an int by ASCII code), e.g., "pt"
    - add all chars up, 'p'+'t'=112+116=228
    - radix notation: 'p'\*128+'t'=14452
      - treat "pt" as base 128 number...
  - a point type: (x,y) an ordered pair of int
    - x+y
    - ax+by // pick some non-zero constants a, b, ...
  - IP address:four integers in range of 0...255
    - add them up
    - radix notation: 150\*256³+108\*256²+68\*256+26

## Hash Function: integer =>[0, m-1]

- Division method: divide integer by m (size of hash table) and take remainder
  - h(key) = key mod m
- if key's value are randomly uniformly distributed all integer values, above hash function is uniform
- But often times data are not randomly distributed,
  - What if m=100, all keys have same last two digits?
  - Similarly, if m=2<sup>p</sup>, then result is simply lowest-ordred p bits
- Rule of thumbs: choose m to be a prime not too close to exact powers of 2

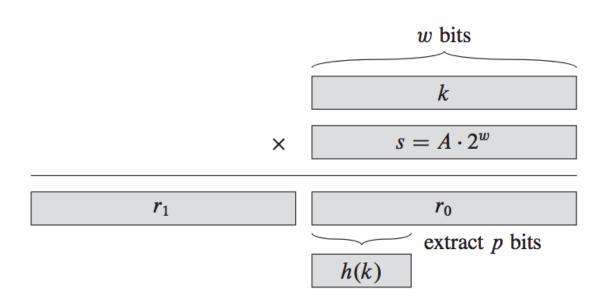
## Hash Function: second stage

 Multiplication method: pick a constant A in the range of (0,1),

$$h(k) = \lfloor m(kA - \lfloor kA \rfloor) \rfloor$$

- take fraction part of kA, and multiply with m
- e.g., m=10000, A=
  - h(123456)=41.
- Advantage: m could be exact power of 2...

### Multiplication Method



**Figure 11.4** The multiplication method of hashing. The w-bit representation of the key k is multiplied by the w-bit value  $s = A \cdot 2^w$ . The p highest-order bits of the lower w-bit half of the product form the desired hash value h(k).

$$m = 2^{p}$$
$$h(k) = \lfloor m(kA - \lfloor kA \rfloor) \rfloor$$

#### Exercise

- Write a hash function that maps string type to a hash table of size 250
  - First stage: using radix notation
    - "Hello!" => <u>'H'\*128^5+'e'\*128^4+...+'!'</u>

X

- Second stage:
  - x mod 250

#### Exercise

 Write a hash function that maps a point type as below to a hash table of size 100

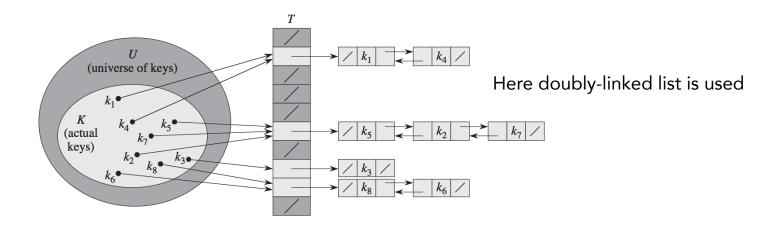
```
class point{
  int x, y;
}
```

#### Collision Resolution

- Recall that h(.) is not one-to-one, so it maps multiple keys to same slot:
  - for distinct k1, k2, h(k1)=h(k2) => collision
- Two different ways to resolve collision
  - Chaining: store colliding keys in a linked list (bucket) at the hash table slot
    - dynamic memory allocation, storing pointers (overhead)
  - Open addressing: if slot is taken, try another, and another (a probing sequence)
    - clustering problem.

# Chaining

- Chaining: store colliding elements in a linked list at the same hash table slot
  - if all keys are hashed to same slot, hash table degenerates to a linked list.



**Figure 11.3** Collision resolution by chaining. Each hash-table slot T[j] contains a linked list of all the keys whose hash value is j. For example,  $h(k_1) = h(k_4)$  and  $h(k_5) = h(k_7) = h(k_2)$ . The linked list can be either singly or doubly linked; we show it as doubly linked because deletion is faster that way.

## Chaining: operations

- Insert (x):
  - insert x at the head of T[h(x.key)]
- Search (k)
  - search for an element with key x in list T[h(k)]
- Delete (x)
  - Delete x from the list T[h(x.key)]
- Running time of search and delete: proportional to length of list stored in h(x.key)

## Chaining: analysis

- Consider a hash table T with m slots stores n elements.
  - load factor
- Ideal case: any given element is equally likely to hash into any of the m slots, independently of where any other element is hashed to
  - average length of lists is
  - search and delete takes
- Worst case: If all keys are hashed to same slot, hash table degenerates to a linked list
  - search and delete takes

#### Collision Resolution

- Open addressing: store colliding elements elsewhere in the table
  - Advantage: no need for dynamic allocation, no need to store pointers
- When inserting:
  - examine (probe) a sequence of positions in hash table until find empty slot
- When searching/deleting:
  - examine (probe) a sequence of positions in hash table until find element

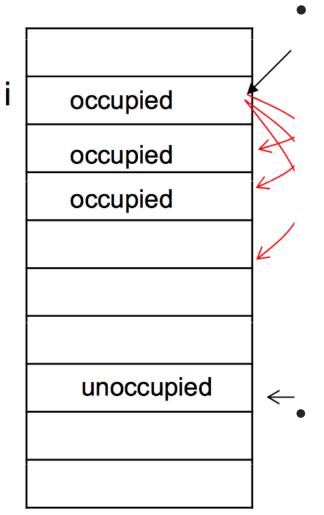
## Open Addressing

Hash function: extended to probe sequence (m functions):

$$h_i(x), i = 0, 1, ..., m - 1$$
  
 $h_i(x) \neq h_i(x), \text{ for } i \neq j$ 

- insert: if h<sub>0</sub>(k) is taken, try h<sub>1</sub>(k), and then h<sub>2</sub>(k),
   until find an empty slot
- Search for key k: if element at h₀(k) is not a match, try h₁(k), and then h₂(k), ..until find matching element, or reach an empty slot
- Delete key k: first search for k, then mark its slot as DELETED

#### **Linear Probing**



Probing sequence  $h_i(x)=(h(x)+i) \mod m$ 

- try following indices in sequence
  - h(x) mod m,
  - $(h(x)+1) \mod m$ ,
  - $(h(x)+2) \mod m, ...$
- Continue until an empty slot is found
- Problem: primary clustering
  - if there are multiple keys mapped to a slot, the slots after it tends to be occupied

## Quadratic Probing

$$h_i(x) = (h(x) + c_1 i + c_2 i^2) \mod m$$

- probe sequence:
  - $h_0(x)=h(x) \mod m$
  - $h_1(x)=(h(x)+c_1+c_2) \mod m$
  - $h_2(x)=(h(x)+2c_1+4c_2) \mod m$
  - ...
- Problem:
  - secondary clustering
  - choose c<sub>1</sub>,c<sub>2</sub>,m carefully so that all slots are probed

### **Double Hashing**

Use two functions f<sub>1</sub>,f<sub>2</sub>:

$$h_i(x) = (f_1(x) + i \cdot f_2(x)) \mod m$$

- Probe sequence:
  - $h_0(x)=f_1(x) \mod m$ ,
  - $h_1(x)=(f_1(x)+f_2(x)) \mod m$
  - $h_2(x)=(f_1(x)+2f_2(x)) \mod m,...$
- f<sub>2</sub>(x) and m must be relatively prime for entire hash table to be searched/used
  - Two integers a, b are <u>relatively prime</u> with each other if their greatest common divisor is 1
  - e.g.,  $m=2^k$ ,  $f_2(x)$  be odd
  - or, m be prime,  $f_2(x)$ <m

### Summary

- We so far have reviewed/studied:
  - Three basic sorting algorithms (comparison based), counting sort, radix sorting
  - Recursive algorithms: three questions rule
  - Data structure review: dictionary, direct access table, hash table, using them in C++ STL
  - Passing function as parameter to sorting function
  - Stable sorting vs unstable sorting
- Lab2:
  - A group project with various small practices
- Next week: algorithm (running time) analysis