

# CISC 4090 Theory of Computation

## Context-Free Languages and Push Down Automata

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JMH 332

## Languages: Regular and Beyond

### Regular:

- Captured by Regular Operations  $(a \cup b) \cdot c^* \cdot (d \cup e)$
- Recognized by Finite State Machines

### Context Free Grammars:

- Human language
- Parsing of computer language

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## An example Context-Free Grammar

### Grammar G1

$A \rightarrow 0A1$   
 $A \rightarrow B$   
 $B \rightarrow \#$

Variables: A, B; Terminals: 0, 1, #

One start variable: A

Substitution rules/productions

- Variable  $\rightarrow$  Variables, Terminals

Example strings generated:  
#, 0#1, 00#11, 000#111, ...

$L(G1) = \{0^n\#1^n \mid n \geq 0\}$

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## Example English Grammar

Sentence  $\rightarrow$  NounPhrase VerbPhrase  
NounPhrase  $\rightarrow$  Article NounSub  
NounSub  $\rightarrow$  Noun | Adjective NounSub  
VerbPhrase  $\rightarrow$  Verb | Verb NounPhrase  
Noun  $\rightarrow$  Girl | Boy | Duck | Ball  
Article  $\rightarrow$  The | A  
Verb  $\rightarrow$  Throws | Sings

### Example 1:

$S \rightarrow$  NP VP  
 $\rightarrow$  A NS V  
 $\rightarrow$  A N V  
 $\rightarrow$  The Boy Sings

### Example 2:

$S \rightarrow$  NP VP  
 $\rightarrow$  A NS V  
 $\rightarrow$  A N V  
 $\rightarrow$  A Duck Throws

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## Formal CFG Definition

A CFG is a 4-tuple  $(V, \Sigma, R, S)$

- $V$  is finite set of variables
- $\Sigma$  finite set of terminals
- $R$  finite set of rules
- $S \in V$  start variable

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## YetaAnother example

$G3 = (\{S\}, \{a, b\}, R, S)$

$R: S \rightarrow aSb \mid SS \mid \epsilon$

Example strings generated:

$L(G1) = \{ \quad \quad \quad \}$

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## Another example

$G3 = (\{S\}, \{a, b\}, R, S)$

$R: S \rightarrow aSb \mid SS \mid \epsilon$

Example strings generated:

$\epsilon, ab, abab, aabb, aaabbbab,$   
 $ababababab, abaaabbb, \dots$

$L(G3) = \{a's \& b's; \text{ each } a \text{ is followed by a matching } b, \text{ every } b \text{ matches exactly one corresponding preceding } a\}$   
 (like parenthesis matching)

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Example rule expansion:

$S \rightarrow aSb$	$S \rightarrow SS$
$aaSbb$	$aSb aSb$
$aa\epsilon bb$	$a\epsilon b aaSbb$
<b><math>aabb</math></b>	$a\epsilon b aa\epsilon bb$
	<b><math>abaabb</math></b>

## Another example

$G4 = (\{A, B, C\}, \{a, b, c\}, R, A)$

$R: A \rightarrow aA \mid BC \mid \epsilon$

$B \rightarrow Bb \mid C$

$C \rightarrow c \mid \epsilon$

Example strings generated:  $\epsilon, aaa, cbbc, aacc$

$L(G4) = \{Hard to describe... \}$

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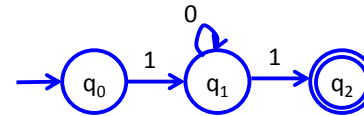
## Designing CFGs

Creativity required

- If CFL is union of simpler CFL, design grammar for simpler ones ( $G_1, G_2, G_3$ ), then combine:  $S \rightarrow G_1 \mid G_2 \mid G_3$
- **If language is regular, can make CFG mimic DFA**

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Example: express as CFG



$$Q_0 \rightarrow 1Q_1$$

$$Q_1 \rightarrow 0Q_1 \mid 1Q_2$$

$$Q_2 \rightarrow \varepsilon$$

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## Designing CFGs

Creativity required

- **If language is regular, can make CFG mimic DFA**
  - Match each state with a single corresponding variable
 
$$Q = \{q_0, \dots, q_n\} \quad V = \{R_0, \dots, R_n\}$$
  - Start state  $q_0$  corresponds to state variable  $S \rightarrow R_0$
  - Replace transition function with Production rule
 
$$\delta(q_i, a) = q_j \quad R_i \rightarrow aR_j$$
  - Accept state  $q_k$ : transition to  $\varepsilon$ 

$$R_k \rightarrow \varepsilon$$

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## Chomsky Normal Form

CFG is in Chomsky normal form if every rule takes form:

$$A \rightarrow BC$$

$$A \rightarrow a$$

- B and C may not be the start variables
- The start variable may transition to  $\varepsilon$

Any CFL can be generated by CFG in Chomsky Normal Form

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## Converting to Chomsky Normal Form

- $S_0 \rightarrow S$  where  $S$  was original start variable
- Remove  $A \rightarrow \varepsilon$
- Shortcut all unit rules  
Given  $A \rightarrow B$  and  $B \rightarrow u$ , add  $A \rightarrow u$
- Replace variable-terminal rules with variable-variable rules  
Given  $A \rightarrow Bc$ , add  $U_c \rightarrow c$  and change  $A$  to  $A \rightarrow BU_c$
- Replace rules  $A \rightarrow u_1u_2u_3 \dots u_k$  with:  
 $A \rightarrow u_1A_1, A_1 \rightarrow u_2A_2, A_2 \rightarrow u_3A_3, \dots, A_{k-2} \rightarrow u_{k-1}u_k$

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## Conversion practice

Non-normal form:  
 $S \rightarrow aSa|bX$   
 $X \rightarrow Ycc|\varepsilon$   
 $Y \rightarrow d|c$

Step 1:  $S_0 \rightarrow S$ ,  
 $S_0 \rightarrow S$   
 $S \rightarrow aSa|bX$   
 $X \rightarrow Ycc|\varepsilon$   
 $Y \rightarrow d|c$

Step 2: Remove  $\varepsilon$ ,  
 $S_0 \rightarrow S$   
 $S \rightarrow aSa|bX|b$   
 $X \rightarrow Ycc$   
 $Y \rightarrow d|c$

Step 3: Use unit rules,  
 $S_0 \rightarrow aSa|bX|b$   
 $S \rightarrow aSa|bX|b$   
 $X \rightarrow Ycc$   
 $Y \rightarrow d|c$

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## Conversion practice

Step 3: Use unit rules,  
 $S_0 \rightarrow aSa|bX|b$   
 $S \rightarrow aSa|bX|b$   
 $X \rightarrow Ycc$   
 $Y \rightarrow d|c$

Step 4: Replace terminals,  
 $S_0 \rightarrow ASA|BX|b$   
 $S \rightarrow ASA|BX|b$   
 $X \rightarrow YCC$   
 $Y \rightarrow d|c$   
 $A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c$

Step 5: Reduce multi-variable  
 $S_0 \rightarrow AN|BX|b$   
 $S \rightarrow AN|BX|b$   
 $X \rightarrow YM$   
 $Y \rightarrow d|c$   
 $A \rightarrow a$   
 $B \rightarrow b$   
 $C \rightarrow c$   
 $N \rightarrow SA$   
 $M \rightarrow CC$

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## Ambiguity – examples

A grammar may generate a string in multiple ways

Math example:

$\text{Expr} \rightarrow \text{Expr} + \text{Expr} \mid \text{Expr} \times \text{Expr} \mid \text{Expr} \mid a$

English example:

*the girl touches the boy with the flower*

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### Ambiguity – definitions

A grammar generates a string ambiguously if there are two or more different parse trees

Definitions:

- Leftmost derivation: at each step the leftmost remaining variable is replaced
- $w$  is derived **ambiguously** in CFG  $G$  if there exist more than one leftmost derivations

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### Conversion practice

	Step 1: Replace unit rules	Step2: Replace terminals
Non-normal form:		
$S \rightarrow aa bXc$	$S \rightarrow aa bXc$	$S \rightarrow AA BXC$
$X \rightarrow Xc Y$	$X \rightarrow Xc Ycc a$	$X \rightarrow XC YCC a$
$Y \rightarrow Ycc a$	$Y \rightarrow Ycc a$	$Y \rightarrow YCC a$
		$A \rightarrow a$
		$B \rightarrow b$
		$C \rightarrow c$

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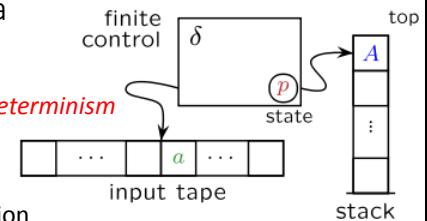
### Conversion practice

Step2: Replace terminals	Step 3: Reduce multi-var
$S \rightarrow AA BXC$	$S \rightarrow AA BN$
$X \rightarrow XC YCC a$	$X \rightarrow XC YM a$
$Y \rightarrow YCC a$	$Y \rightarrow YM a$
$A \rightarrow a$	$A \rightarrow a$
$B \rightarrow b$	$B \rightarrow b$
$C \rightarrow c$	$C \rightarrow c$
	$N \rightarrow XC$
	$M \rightarrow CC$

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### Push down automata

FSA augmented with memory  
Equivalent to CFG *if use non-determinism*



Finite control: transition function  
Tape: holds input string  
Stack: Can write to/read from stack  
Input is Last In First Out ("LIFO")

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## PDA and Language $0^n1^n$

Read symbol from input, push each 0 onto stack

As soon as see 1's, start popping 0 for each 1 seen

- If finish reading and stack empty, accept
- If stack is empty and 1's remain, reject
- If inputs finished but stack still has 0's, reject
- In 0 appears on input, reject

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## Definition of PDA

A PDA is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$  where  $Q, \Sigma, \Gamma,$  and  $F$  are finite sets

- $Q$  is sets of states
- $\Sigma$  is the input alphabet
- $\Gamma$  is the stack alphabet
- $\delta: Q \times \Sigma \times \Gamma \rightarrow P(Q \times \Gamma)$  is transition function
- $q_0 \in Q$  is start state
- $F \subseteq Q$  is set of accept states

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## PDA computation

M must start in  $q_0$  with empty stack

M must move according to transition function

To accept string, M must be at accept state at end of input

Start stack with  $\$$ . If you see  $\$$  at top of stack, it is empty

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## Understanding transition $\delta$

$a, b \rightarrow c$  means:

- when you read  $a$  from tape and  $b$  is on top of stack
- replace  $b$  with  $c$  on top of stack

$a, b,$  or  $c$  can be  $\epsilon$

- If  $a$  is  $\epsilon$  then change stack without reading a symbol
- If  $b$  is  $\epsilon$  then push new symbol  $c$  without popping  $b$
- If  $c$  is  $\epsilon$  then no new symbol pushed, only pop  $b$

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PDA to accept  $0^n1^n$

$M_1$  is  $(Q, \Sigma, \Gamma, \delta, q_0, F)$

- $Q = \{q_1, q_2, q_3, q_4\}$   $\Sigma = \{0,1\}$
- $\Gamma = \{0, \$\}$   $F = \{q_1, q_4\}$

$0, \epsilon \rightarrow 0$        $1, 0 \rightarrow \epsilon$   
 $\epsilon, \epsilon \rightarrow \$$        $\epsilon, \$ \rightarrow \epsilon$

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PDA to accept  $0^n1^n$

$0, \epsilon \rightarrow 0$        $1, 0 \rightarrow \epsilon$   
 $\epsilon, \epsilon \rightarrow \$$        $\epsilon, \$ \rightarrow \epsilon$

Input: 0011

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PDA to accept  $\{ww^R\}$

Power of non-determinism:

- At start, don't know where string  $w$  ends

$0, \epsilon \rightarrow 0$        $0, 0 \rightarrow \epsilon$   
 $1, \epsilon \rightarrow 1$        $1, 1 \rightarrow \epsilon$

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PDA to accept  $a^i b^j c^k, i=j \text{ or } j=k$

Power of non-determinism:

- At start, don't know if  $i=j$  or  $j=k$

$0, \epsilon \rightarrow 0$        $a, \epsilon \rightarrow a$        $b, a \rightarrow \epsilon$        $c, \epsilon \rightarrow \epsilon$   
 $1, \epsilon \rightarrow 1$        $a, \epsilon \rightarrow \epsilon$        $b, \epsilon \rightarrow b$        $c, b \rightarrow \epsilon$

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Theorem: A language is context free if and only if some PDA recognizes it

Let's prove: If a language  $L$  is CFL, some PDA recognizes it

Idea: Show how CFG can define a PDA

- Stack has set of terminals/variables to compare with input
- Place proper terminal/variable pattern onto stack based on rules
- Non-determinism: Clone your machine, following different branches of rules

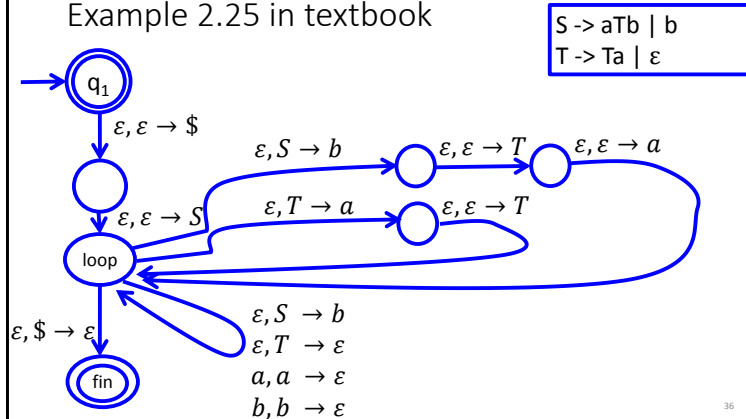
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CFG  $\rightarrow$  PDA

- If top of stack is variable, sub one right-hand rule for the variable
- If top of stack is terminal, keep going iff terminal matches input
- If top of stack is  $\$,$  accept!

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Example 2.25 in textbook



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Regular languages vs. CFLs

- CFGs define CFLs
- PDAs recognize CFLs and Regular languages
- FSAs recognize Regular languages, but **not** CFLs
- CFLs and Regular languages not equivalent

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## Non Context Free Languages

Languages recognized by PDAs

- $L = \{ww^R\}$
- $L = \{a^n b^n \mid n \geq 0\}$

Languages **not** recognized by PDAs

- $L = \{ww\}$
- $L = \{a^n b^n c^n \mid n \geq 0\}$

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## Proving non context free – NEW pumping lemma!

Every string in CFL  $A$  with length greater than or equal to the pumping length  $p$  can be “pumped”

Every string  $w \in A$  ( $|w| \geq p$ ) can be written as  $uvxyz$  where

1. For each  $i \geq 0$ ,  $uv^i xy^i z \in A$
2.  $|vy| > 0$
3.  $|vxy| \leq p$



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## Regular language PUMPING: Proof idea

If  $|s| < p$ , trivially true

If  $|s| \geq p$ , consider the states the FSA goes through

- Since there are only  $p$  states,  $|s| > p$ , one state must be repeated
- **Pigeonhole principle:** There must be a cycle

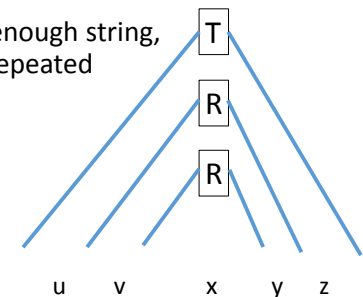
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## CFL pumping: Proof idea

Pigeonhole idea: Given a long enough string, some variable will need to be repeated

Example Grammar:  $S \rightarrow uRz$

$R \rightarrow x \mid vRy$



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Prove  $F = \{ww \mid w = (0 \cup 1)^*\}$  not CFL

Try a sample string  $s = \{0^p 1 0^p 1\}$   $|s| > p$

- Can we define  $uvxyz = s$  so  $uv^i xy^i z \in F$  ?
- Yes:  $u = 0^{p-1}$ ,  $v = 0$ ,  $x = 1$ ,  $y = 0$ ,  $z = 0^{p-1} 1$

Try another sample string  $s = \{0^p 1^p 0^p 1^p\}$

- Can we define  $uvxyz = s$  so  $uv^i xy^i z \in F$  ?
- No:
  - If  $vxy$  is in first  $w$ , pumping will make increase 1's and/or 0's in first  $w$  but not in second
  - If  $vxy$  straddles the middle,  $vxy$  will either increase 1's for first  $w$  and 0's for second  $w$ , or will break the  $0^n 1^n$  pattern

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