

# CISC 4090 Theory of Computation

## Context-Free Languages and Push Down Automata

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## Languages: Regular and Beyond

Regular:  $(a \cup b) \cdot c^* \cdot b \cdot (d \cup e \cup a)$

Not-regular:  $c^n b d^n$

Context Free Grammars:

- Human language
- Parsing of computer language

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## An example Context-Free Grammar

Grammar G1

$A \rightarrow 0A1$

$A \rightarrow B$

$B \rightarrow \#$

Variables: A, B; Terminals: 0, 1, #

One start variable

Substitution rules/productions

- Variable  $\rightarrow$  Variables, Terminals

Example strings generated:

$\#, 0\#1, 00\#11, 000\#111, \dots$

$L(G1) = \{0^n \# 1^n \mid n \geq 0\}$

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## Example English Grammar

Sentence  $\rightarrow$  NounPhrase VerbPhrase

NounPhrase  $\rightarrow$  Article NounSub

NounSub  $\rightarrow$  Noun | Adjective NounSub

VerbPhrase  $\rightarrow$  Verb | Verb NounPhrase

Noun  $\rightarrow$  Girl | Boy | Duck | Ball

Article  $\rightarrow$  The | A

Verb  $\rightarrow$  Throws | Sings

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## Formal CFG Definition

A CFG is a 4-tuple  $(V, \Sigma, R, S)$

- $V$  is finite set of variables
- $\Sigma$  finite set of terminals
- $R$  finite set of rules
- $S \in V$  start variable

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## Another example

$G3 = (\{S\}, \{a, b\}, R, S)$

R:  $S \rightarrow aSb \mid SS \mid \varepsilon$

Example strings generated:

$\varepsilon$ , ab, abab, aabb, aaabbbab,  
ababababab, abaaabbb, ...

$L(G1) = \{a's \ \& \ b's; \text{ each } a \text{ is followed by a matching } b, \text{ every } b \text{ matches exactly one corresponding preceding } a\}$   
(like parenthesis matching)

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## Designing CFGs

Creativity required

- If CFL is union of simpler CFL, design grammar for simpler ones ( $G1, G2, G3$ ), then combine:  $S \rightarrow G1 \mid G2 \mid G3$
- If language is regular, can make CFG mimic DFA

Match each state with a single corresponding variable

$Q = \{q_0, \dots, q_n\}$        $V = \{R_0, \dots, R_n\}$

Replace transition function with Production rule

$\delta(q_i, a) = q_j$        $R_i \rightarrow aR_j$

Accept state  $q_k$ : transition to  $\varepsilon$        $R_k \rightarrow \varepsilon$

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## Ambiguity – examples

A grammar may generate a string in multiple ways

Math example:

$\text{Expr} \rightarrow \text{Expr} + \text{Expr} \mid \text{Expr} \times \text{Expr} \mid \text{Expr} \mid a$

English example:

*the girl touches the boy with the flower*

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## Ambiguity – definitions

A grammar generates a string ambiguously if there are two or more different parse trees

Definitions:

- Leftmost derivation: at each step the leftmost remaining variable is replaced
- $w$  is derived **ambiguously** in CFG  $G$  if there exist more than one leftmost derivations

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## $a+axa+a$ leftmost derivations

Derivation 1:

Expr  
 Expr x Expr  
 Expr + Expr x Expr  
 a + Expr x Expr  
 a + a x Expr  
 a + a x Expr + Expr  
 a + a x a + Expr  
 a + a x a + a

Derivation 2:

Expr  
 Expr + Expr  
 Expr x Expr + Expr  
 Expr + Expr x Expr + Expr  
 a + Expr x Expr + Expr  
 a + a x Expr + Expr  
 a + a x a + Expr  
 a + a x a + a

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## Chomsky Normal Form

CFG is in Chomsky normal form if every rule takes form:

$$A \rightarrow BC$$

$$A \rightarrow a$$

- $B$  and  $C$  may not be the start variables
- The start variable may transition to  $\epsilon$

Any CFL can be generated by CFG in Chomsky Normal Form

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## Converting to Chomsky Normal Form

- $S_0 \rightarrow S$  where  $S$  was original start variable
- Remove  $A \rightarrow \epsilon$
- For each multiple-occurrence of  $A$ , add new rules with  $A$  deleted  
 $R \rightarrow uAvAw$  change to  $R \rightarrow uvAw \mid uAvw \mid uvw$
- Shortcut all unit rules  
 Given  $A \rightarrow B$  and  $B \rightarrow u$ , add  $A \rightarrow u$
- Replace rules  $A \rightarrow u_1u_2u_3 \dots u_k$  with:  
 $A \rightarrow u_1A_1, A_1 \rightarrow u_2A_2, A_2 \rightarrow u_3A_3, \dots, A_{k-2} \rightarrow u_{k-1}u_k$

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## Conversion practice

Non-normal form:

$$S \rightarrow aXbX$$

$$X \rightarrow aY|bY|\epsilon$$

$$Y \rightarrow X|c$$

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## Conversion practice, answer part 1

Non-normal form: Step 1:  $S_0 \rightarrow S$ ,

$$S \rightarrow aXbX$$

$$X \rightarrow aY|bY|\epsilon$$

$$Y \rightarrow X|c$$

then place  $\epsilon$  for X

$$S_0 \rightarrow S$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY$$

$$Y \rightarrow \epsilon|X|c$$

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## Conversion practice, answer part 2

Step 1:  $S_0 \rightarrow S$ ,  
then place  $\epsilon$  for X

$$S_0 \rightarrow S$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY$$

$$Y \rightarrow \epsilon|X|c$$

Step 2: place  $\epsilon$  for Y

$$S_0 \rightarrow S$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY|a|b$$

$$Y \rightarrow X|c$$

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## Conversion practice, answer part 3

Step 2: place  $\epsilon$  for Y

$$S_0 \rightarrow S$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY|a|b$$

$$Y \rightarrow X|c$$

Step 3: replace S and X

$$S_0 \rightarrow aXbX|abX|aXb|ab$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY|a|b$$

$$Y \rightarrow aY|bY|a|b|c$$

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Step 3: replace S Conversion practice, answer part 3

$$S_0 \rightarrow aXbX|abX|aXb|ab$$

$$S \rightarrow aXbX|abX|aXb|ab$$

$$X \rightarrow aY|bY|a|b$$

$$Y \rightarrow aY|bY|a|b|c$$

Step 4: replace terminal-variable combos with variable combos

$$S_0 \rightarrow U_1XU_2X|U_1U_2X|U_1XU_2|U_1U_2$$

$$S \rightarrow U_1XU_2X|U_1U_2X|U_1XU_2|U_1U_2$$

$$X \rightarrow U_1Y|U_2Y|a|b$$

$$U_1 \rightarrow a \quad U_2 \rightarrow b \quad Y \rightarrow U_1Y|U_2Y|a|b|c$$

Conversion practice, answer part 3

Step 4: replace terminal-variable combos with variable combos

$$S_0 \rightarrow U_1XU_2X|U_1U_2X|U_1XU_2|U_1U_2 \quad U_1 \rightarrow a \quad U_2 \rightarrow b$$

$$S \rightarrow U_1XU_2X|U_1U_2X|U_1XU_2|U_1U_2 \quad X \rightarrow U_1Y|U_2Y|a|b$$

$$Y \rightarrow U_1Y|U_2Y|a|b|c$$

Step 4: replace multi-variables with pairs

$$S_0 \rightarrow U_1C|U_1D|U_1E|U_1U_2$$

$$S \rightarrow U_1C|U_1D|U_1E|U_1U_2$$

$$C \rightarrow XD \quad D \rightarrow U_2X \quad E \rightarrow XU_2$$

$$X \rightarrow U_1Y|U_2Y|a|b$$

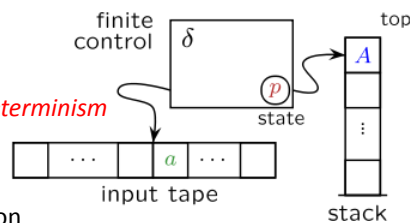
$$U_1 \rightarrow a \quad U_2 \rightarrow b \quad Y \rightarrow U_1Y|U_2Y|a|b|c$$

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## Push down automata

FSA augmented with memory

Equivalent to CFG *if use non-determinism*



Finite control: transition function

Tape: holds input string

Stack: Can write to/read from stack

Input is Last In First Out ("LIFO")

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## PDA and Language $0^n1^n$

Read symbol from input, push each 0 onto stack

As soon as see 1's, start popping 0 for each 1 seen

- If finish reading and stack empty, accept
- If stack is empty and 1's remain, reject
- If inputs finished but stack still has 0's, reject
- In 0 appears on input, reject

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## Definition of PDA

A PDA is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$  where  $Q, \Sigma, \Gamma,$  and  $F$  are finite sets

- $Q$  is sets of states
- $\Sigma$  is the input alphabet
- $\Gamma$  is the stack alphabet
- $\delta: Q \times \Sigma \times \Gamma \rightarrow P(Q \times \Gamma)$  is transition function
- $q_0 \in Q$  is start state
- $F \subseteq Q$  is set of accept states

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## PDA computation

$M$  must start in  $q_0$  with empty stack

$M$  must move according to transition function

To accept string,  $M$  must be at accept state at end of input

Start stack with  $\$$ . If you see  $\$$  at top of stack, it is empty

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## Understanding transition $\delta$

$a, b \rightarrow c$  means:

- when you read  $a$  from tape and  $b$  is on top of stack
- replace  $b$  with  $c$  on top of stack

$a, b,$  or  $c$  can be  $\epsilon$

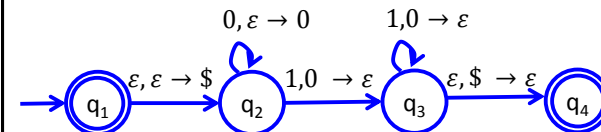
- If  $a$  is  $\epsilon$  then change stack without reading a symbol
- If  $b$  is  $\epsilon$  then push new symbol  $c$  without popping  $b$
- If  $c$  is  $\epsilon$  then no new symbol pushed, only pop  $b$

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## PDA to accept $0^n 1^n$

$M_1$  is  $(Q, \Sigma, \Gamma, \delta, q_0, F)$

- $Q = \{q_1, q_2, q_3, q_4\}$     $\Sigma = \{0, 1\}$
- $\Gamma = \{0, \$\}$     $F = \{q_1, q_4\}$

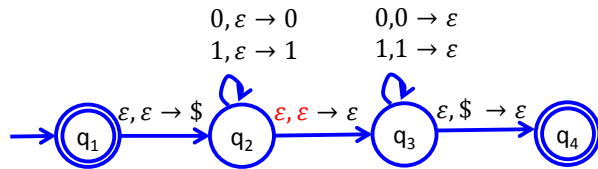


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### PDA to accept $\{ww^R\}$

Power of non-determinism:

- At start, don't know where string  $w$  ends

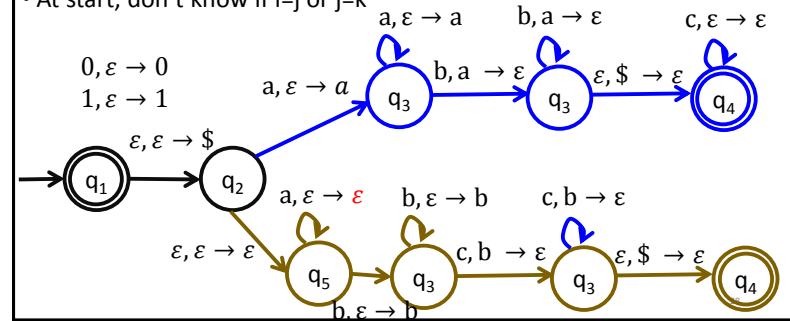


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### PDA to accept $a^i b^j c^k, i=j \text{ or } j=k$

Power of non-determinism:

- At start, don't know if  $i=j$  or  $j=k$



Theorem: A language is context free if and only if some PDA recognizes it

Let's prove: If a language  $L$  is CFL, some PDA recognizes it

Idea: Show how CFG can define a PDA

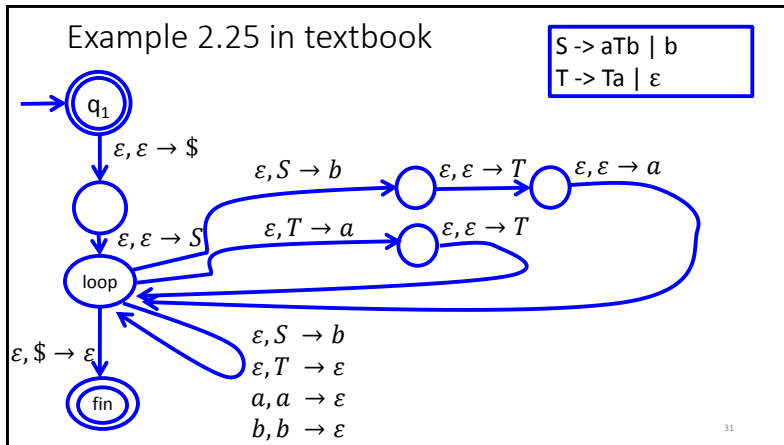
- Stack has set of terminals/variables to compare with input
- Place proper terminal/variable pattern onto stack based on rules
- Non-determinism: Clone your machine, following different branches of rules

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### CFG $\rightarrow$ PDA

- If top of stack is variable, sub one right-hand rule for the variable
- If top of stack is terminal, keep going iff terminal matches input
- If top of stack is  $\$,$  accept!

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## Regular languages vs. CFLs

- CFGs define CFLs
- PDAs recognize CFLs and Regular languages
- FSAs recognize Regular languages, but **not** CFLs
- CFLs and Regular languages not equivalent

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## Non Context Free Languages

Languages recognized by PDAs

- $L = \{ww^R\}$
- $L = \{a^n b^n \mid n \geq 0\}$

Languages **not** recognized by PDAs

- $L = \{ww\}$
- $L = \{a^n b^n c^n \mid n \geq 0\}$

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## Proving non context free – NEW pumping lemma!

Every string in CFL  $A$  with length greater than or equal to the pumping length  $p$  can be “pumped”

Every string  $w \in A$  ( $|w| \geq p$ ) can be written as  $uvxyz$  where

1. For each  $i \geq 0$ ,  $uv^i xy^i z \in A$
2.  $|vy| > 0$
3.  $|vxy| \leq p$



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## Regular language PUMPING: Proof idea

If  $|s| < p$ , trivially true

If  $|s| \geq p$ , consider the states the FSA goes through

- Since there are only  $p$  states,  $|s| > p$ , one state must be repeated
- **Pigeonhole principle:** There must be a cycle

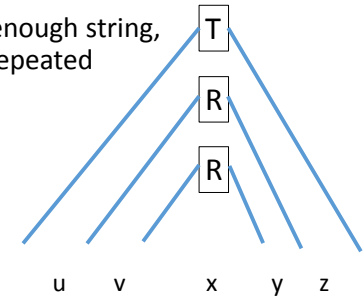
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## CFL pumping: Proof idea

Pigeonhole idea: Given a long enough string, some variable will need to be repeated

Example Grammar:  $T \rightarrow uRz$

$R \rightarrow x \mid vRy$



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## Prove $F = \{ww \mid w = (0 \cup 1)^*\}$ not CFL

Try a sample string  $s = \{0^p 1 0^p\}$   $|s| > p$

- Can we define  $uvxyz = s$  so  $uv^i xy^i z \in F$ ?
- Yes:  $u = 0^{p-1}$ ,  $v = 0$ ,  $x = 1$ ,  $y = 0$ ,  $z = 0^{p-1}$

Try another sample string  $s = \{0^p 1^p 0^p\}$

- Can we define  $uvxyz = s$  so  $uv^i xy^i z \in F$ ?
- No:
  - If  $vxy$  is in first  $w$ , pumping will make increase 1's and/or 0's in first  $w$  but not in second
  - If  $vxy$  straddles the middle,  $vxy$  will either increase 1's for first  $w$  and 0's for second  $w$ , or will break the  $0^n 1^n$  pattern

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## Prove $B = \{a^n b^n c^n \mid n \geq 0\}$ not CFL

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